Documents required for NMFII Registration

Kindly find below enclosed checklist: -



Following are the formats of the documents: -

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| Annexure 1 –  Application Form for Seeking Permission to Use Infrastructure of the Exchange for Mutual Fund Transactions |  |
| Annexure 2 – Format of Undertaking | |
| Undertaking to be submitted by Non-RIAs |  |
| Undertaking to be submitted by RIAs only |  |
| Undertaking to be submitted by Over Seas Distributor  \*Overseas Distributor can get the undertaking stamped through a duly authorized agent in India  Undertaking should be duly notarized from any diplomatic or consular office board |  |
| Undertaking to be submitted by PMS (Portfolio Management Services/SEBI Intermediaries) |  |
| Annexure 3 –Mandate Form to Authorize AMCs / RTAs to Provide Transaction Data to NSEs Mutual Fund Service System |  |
| Annexure 4 -Application and Mandate by Existing Member of NSE MFSS for activation in NMFII Platform |  |
| Format of Board Resolution (in case of Corporates) |  |